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Cardinal Health

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www.cardinal.com

Date	January 4, 3005
То	Office of Petitions
Company	115 Patent Office
Fax	(703) 872-9366
From	German Warrer for Sonald Nickely
Phone	614757-5491
Fax	614757 8843
Subject	US Patent Appl. No. 10/014,352
	Petition to levive Unintentionally Poandoned Application
Pages	14 (including this page)
Notes:	
,	
Your Ref.: _	EPS6097-US
Our Ker.: _	

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N. 4. 2005 2:41PM CARDINAL HEALTH INC.

Approved for use through 07/31/2006, OMB 0651-0031

U.S. Patent and Tradomark Office; U.S. DEPARTMENT OF COMMERCE

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PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT ABANDONED UNINTENTIONALLY UNDER 37 CFR 1.137(b)	RPS6097-US
First named inventor. Keith Tenner	
Application No.: 10/016,352 Art Unit: 1722	
Filed: November 2, 2001 Examiner: Jose	ph S. Del Sole
Title: APPARATUS AND METHOD FOR MANUFACTURING ENCAPSULATED PRODUCTS	
(ine.	RECEIVED CENTRAL FAX CENTER
Attention: Office of Petitions Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 FAX (703) 872-9306	JAN U 4 2005
NOTE: If information or assistance is needed in completing this form, Information at (703) 305-9282.	please contact Petitions
The above-identified application became abandoned for failure to file a timely action by the United States Patent and Trademark Office. The date of abandonme date of the period set for reply in the office notice or action plus an extensions of the APPLICANT HEREBY PETITIONS FOR REVIVAL OF THIS AIR	me actually obtained.
NOTE: A grantable petition requires the following items: (1) Petition fee; (2) Reply and/or issue fee; (3) Terminal disclaimer with disclaimer fee - required for all ut filed before June 8, 1995; and for all design applications; and the statement that the entire delay was unintentional.	ility and plant applications and
1.Petition fee Small entity-fee \$ (37 CFR 1.17(m)). Applicant claims small entity Other than small entity – fee \$ (37 CFR 1.17(m))	ity status, See 37 CFR 1.27.
has been filed previously on	ntify type of reply):
is enclosed herewith. B. The issue fee and publication fee (if applicable) of \$ 1700.00 has been paid previously on is enclosed herewith.	

This collection of information is required by 37 CFR 1.137(b). The Information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1.0 hour to complete, including germering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer. U.S. Patent and Trademark Office. U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450,

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

NO.	427	PTO 3	(09-O
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3.	Terminal disclaimer with disclaimer fee	
	Since this utility/plant application was filed on or after June 8, 1	995, no terminal disclaimer is required.
	A terminal disclaimer (and disclaimer fee (37 CFR 1.20(d)) of \$ for other than a small entity) disclaiming the required period of PTO/SB/63).	for a small entity or \$time is enclosed herewith (see
4.	STATEMENT: The entire delay in filing the required reply from the offiling of a grantable petition under 37 CFR 1.137(b) was unintention. Trademark Office may require additional information if there is a que abandonment or the delay in filing a petition under 37 CFR 1.137(b) subsections (III)(C) and (D)).]	al. [NOTE: The United States Patent and estion as to whether either the
	WARNING: Information on this form may become public. Co included on this form. Provide credit card information and a	redit card information should not be authorization on PTO-2038.
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	Signature	Date
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	Donald O. Nickey	29,092
	Typed or printed name	Registration Number, if applicable
	7000 A. Musi Piere	044 757 5540
	7000 Cardinal Place Address	614-757-5542 Telephone Number
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	Dublin, Ohlo 43017	_
	Address	
	Enclosures: Fee Payment	
	Reply	
	Terminal Disclaimer Form	
	Additional sheets containing statements establishing	ng unintentional delay
	Other: Issue Fee; publication fee and petition fee	
	CERTIFICATE OF MAILING OR TRANSMISS	ION [37 CFR 1.8(a)]
	I hereby certify that this correspondence is being: Deposited with the United States Postal Service on the postage as first class mail in an envelope addressed to Patents, P. O. Box 1450, Alexandria, VA 22313-1450.	date shown below with sufficient : Mail Stop Petition, Commissioner for
	Transmitted by facsimile on the date shown below to the Office as (703) 872-9306.	e United States Patent and Trademark
	114105 June	Signature
		Land Man C. Warner
	Tuned as prints	Jennifer S. Warner and name of person signing certificate
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JAN 0 4 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re:

Applicant:

Tanner et al.

Atty. Docket No.: RPS6097-US

Serial No.:

10/016,352

Examiner:

Joseph S. Del Sole

Filing Date:

November 2, 2001

Art Unit:

1722

Entitled:

APPARATUS AND METHOD FOR MANUFACTURING ENCAPSULATED

PRODUCTS

Office of Petitions Commissioner for Patents MAIL STOP - PETITIONS (FEE) P.O. Box 1450 Alexandria, Virginia 22313-1450

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.6(d)

Date of Deposit

I hereby certify that this correspondence is being sent to the Office of Petitions, Commissioner for Patents, MAIL STOP -PETITIONS (FEE), P.O. Box 1450, Alexandria, Virginia 22313-1450 via facsimile to (703) 872-9306.

STATEMENT OF DONALD O. NICKEY IN SUPPORT OF THE PETITION TO REVIVE AN UNINTENTIONALLY ABANDONED APPLICATION

Dear Sir.

This communication is in support of the Petition to Revive an Unintentionally Abandoned Application, submitted contemporaneously herewith. A copy of the Notice of Abandonment. Mailed September 24, 2004 is attached hereto. The reason for abandonment was allegedly Applicant's failure to timely pay the issue fee due August 17, 2004. On October 5, 2004, Applications submitted a Petition to withdraw the Holding of Abandonment Pursuant to 37 CFR 1.181. In this Petition, Applicants indicated that the issue fee was filed via express mail on August 16, 2004, however, no express mail receipt or postcard was ever received. It is Applicants assumption that the Express Mail envelope was lost at the Post Office. When resubmitting the Issue Fee Transmittal form with the Petition, Applicants attorney mistakenly

crossed out the original date on the Form (08/16/04) and inserted the date of the Petition (10/05/04). A copy of the Issue Fee Transmittal form showing the mistakenly crossed-out dates is attached hereto. As a result, the USPTO denied Applicant's Petition in its decision dated December 7, 2004, a copy of which is attached. Therefore, Applicants submit this Petition to Revive and respectfully request that the Patent Office allow this application to proceed to grant.

Applicants also authorize and request the Commissioner to withdraw the funds associated with the Issue Fee and publication fee (\$1,700.00) and the petition fee (\$1500.00) from the undersigned's Deposit Account No. 50-0256. A duplicate of this document is enclosed.

Again, Applicants wish to reiterate that the issue fee was timely filed and that crossing out the date in the Petition to Withdraw the Holding of Abandonment, which caused the dismissal of the Petition to Withdraw by the PTO, was mistaken and unintentional.

Should the Director require additional information, he is invited to contact the undersigned.

Jan 4,2005

Respectfully submitted,

Attorney for Applicants

Cardinal Health, Inc. 7000 Cardinal Place Dublin, Ohio 43017

Telephone: (614) 757-5542 Facsimile: (614) 757-2243

P. 6

JAN 0 4 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re:

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Should the Director require additional information, he is invited to contact the undersigned.

Respectfully submitted,

Date: 7, 2005

Donald O. Nickey, Reg. No.

Attorney for Applicants Cardinal Health, Inc. 7000 Cardinal Place

Dublin, Ohio 43017

Telephone: (614) 757-5542 Facsimile: (614) 757-2243



UNITED STATES DEPARTMENO. 427COMP. 8CE United States Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

APPLICATION NUMBER FILING DATE FIRST NAMED APPLICANT	ATTORNEY DOCKET NO.
10/016,352	
70,000	EXAMINER
•	ART UNIT PAPER NUMBER
•	•
	DATE MAILED:
NOTICE OF ABANDONMENT	
This application is abandoned in view of:	
Applicant's failure to timely file a proper reply to the Office letter mailed on_	. .
A reply (with Certificate of Mailing or Transmission of which is after the expiration of the penode extension of time of month(s)) which expired on) was received on d for reply (including a total
A proposed reply was received on, but it does not 37 CFR 1.113 to the final rejection. (A proper reply under 37 CFR 1.113 to a final rejection consists on which places the application in condition for allowance; (2) a timely or (3) a timely filed Request for Continued Examination (RCE) in continued Ex	lly of: (1) a timely filed amendment filed Notice of Appeal (with appeal fee);
A reply was received on, but it does not constitute proper reply, to the non-final rejection. See 37 CFR 1.85(a) and 1.1	a proper reply, or a <i>bona fide</i> attempt at a land. (See explanation in the last box below).
No reply has been received.	
Applicant's failure to timely pay the required issue fee and publication fee, if of three months from the mailing date of the Notice of Allowance (PTOL-85)	applicable, within the statutory period
The issue fee and publication fee, if applicable, was received on	of the statutory period for payment of the
The submitted fee of \$ is insufficient. A balance of \$ The issue fee by 37 CFR 1.18 is \$ The publication fee, 37 CFR 1.18(d) is \$	_ is due. if required, by
The issue fee and publication fee, if applicable, have not been reco	eived.
Applicant's failure to timely file corrected drawings as required by, and with the Notice of Allowability (PTOL-37).	in the three-month period set in,
Proposed corrected drawings were received on (with a C), which is after the expiration of the period for re	ertificate of Mailing or Transmission dated apply.
No corrected drawings have been received.	
The letter of express abandonment which is signed by the attorney or agent interest, or all the applicants.	t of record, the assignee of the entire
The letter of express abandonment which is signed by an attorney or agent under 37 CFR 1.34(a)) upon filing of a continuing application.	(acting in a representative capacity
The decision by the Board of Patent Appeals and Interferences rendered or for seeking court review of the decision has expired and there are no allowed	n and because the period ed claims.
The reason(s) below: Petitions to revive under 97 CFR 1,137(a) or (b), or requests to withdraw the holding of shandonma	nt under 37 CFR 1.161, should be promptly filed to

	Serial No.	Tanner et	Filing Date: 1112-101	Atty. Ducket No		_
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PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

(703) 746-4000 or Fax INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks I through 4 should be completed where appropriate All further correspondence including the Putent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block I, by (a) specifying a new correspondence address; and/or (b) indicating a zeparate "FEE ADDRESS" for maintenance fee notifications. CURRENT CORRESPONDENCE ADDRESS (Nove Legally mark-up with any corrections of use Block 1) Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmiral. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. 05/17/2004 Certificate of Mailing or Transmission
I hereby certify that this Foe(s) Transmittal is being deposited with the United
States Fostal Service with sufficient postage for first class mail in an envelope
addressed to the Mail Stop ISSUE FEE address above, or being factimile
transmitted to the USPTO, on the date indicated below. Donald O. Nickey Cardinal Health, Inc. 7000 Cardinal Place Dublin, OH 43017 Jean Her Warner (Depositor's amne) lause Warrer (Biopaum SH6104. 2009 FIRST NAMED INVENTOR APPLICATION NO. FILING DATE ATTORNEY DOCKET NO. CONFIRMATION NO. 10/016,352 11/02/2001 Keith Tanner RP\$6097-US 3443 TITLE OF INVENTION: APPARATUS AND METHOD FOR MANUFACTURING ENCAPSULATED PRODUCTS ISSUE PER PUBLICATION FER APPLN, TYPE SMALL ENTITY TOTAL FEE(5) DUE DATE DUE NO \$1330 S300 nonprovisional \$1630 08/17/2004 ART UNIT CLASS-SUBCLASS EXAMINER DEL SOLE, JOSEPH S 425-224000 Change of correspondence address or indication of "Fee Address" (37 PR 1.363). 2. For printing on the patent front page, list (1) the Donald O. Nickel names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) snached. firm (having as a member a registered attorney or agent) and the names of up to 2 registered porent Ci "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) statched. Use of a Customer Number is required. attorneys or agents. If no same is listed, no name will be printed. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent, inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment. (B) RESIDENCE: (CITY and STATE OR COUNTRY) (A) NAME OF ASSIGNEE LOS YECAS, Nevada (USA) R.P. Scherer Technologies, Inc. Please check the appropriate assignee category or categories (will not be printed on the patent); individual Corporation or other private group entity in government 43. The following fcc(s) are enclosed: 4b. Payment of Fee(s): Sissue Fee A check in the amount of the fee(s) is enclosed. Q Publication Fcc Delignment by credit card. Form PTO-2038 is attached. The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 50-82518 (enclose an extra copy of this form). G Advance Order - # of Copies Director for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. (Authorized Signature) 3460104 NOTE: The Issue Fee and Publication Fee (if equired) will not be accepted from anyone other than the applicant; a registered attornoy or agent; or the assignee or other party in interest as shown by the records of the United States Fatent and Trademark Office. This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450.

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APPLICATION NO.	FILIN	G DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/016.352	11/02/2001		Keith Tanner	RPS6097-US	3443
7:	590	09/24/2004	•	EXAM	NER
	Donald O. Nickey				Joseph S
Cardinal Health 7000 Cardinal I				ART UNIT	PAPER NUMBER
Dublin, OH 4				1722	

DATE MAILED: 09/24/2004

Please find below and/or attached an Office communication concerning this application or proceeding.



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DUBLIN, OH 43017

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Director's Offics Office of Petent Publication

In re Application of Keith Tanner, et al. Application No. 10/016,352

DECISION ON PETITION

Filed: November 20, 2001 Attorney Docket No. RPS6097-US

This is a decision on the Petition To Withdraw The Holding Of Abandonment Pursuant to 37 CFR § 1.181, received in the United States Patent and Trademark Office (USPTO) on October 5, 2004.

The petition is DISMISSED.

The application was held abandoned for failure to timely pay the issue fee as required in the Notice Of Allowance and Fee(s) Due, mailed May 17, 2004, which set a three (3) month statutory period for reply. Accordingly, the Notice of Abandonment was mailed on September 24, 2004.

Petitioner states that the issue fee was filed via express mail on August 16, 2004. In support of this assertion petitioner has submitted herewith a copy of the Express Mail mailing label, post card, Part B - Fee(s) Transmittal, Notice of Allowability and the Examiners, Reason For Allowance. Also, a Statement In Support Of Petition To Withdraw Holding Of Abandonment — Declaration of Jennifer Warner—was received.

In order for a petition to be granted, the evidence must be sufficient according to one of the following standards:

- MPEP 503 (postcard receipt as prima facie evidence)
- Certificate of Mailing under 37 CFR 1.8(b)
- "Express Mail" Mailing under 37 CFR 1.10

- Application No. 10/016,352

Page 2

MPEP 503 Return Postcard is not applicable since there's no evidence that the postcard was returned with the "Office Date" stamp receipt thereon. See MPEP 505

Provisions under 37 CFR 1.8(b) requires that petitioner (1) promptly inform the Office of the previous timely mailing or transmission after becoming aware that the Office has no evidence of receipt of the correspondence, (2) supply copies of the previously mailed correspondence with certificate of mailing thereon, and (3) include a statement which attests to the previous timely mailing.

Petitioner doesn't fully satisfy requirement (2) in that, the date indicated on the Certificate of Mailing of October 5, 2004, is after the date due for the paying the required fee(s). The applicant crossed out the date of August 16, 2004.

With respect to the submission under 37 CFR 1.10 Express Mail requires that the petitioner (1) promptly file the petition after becoming aware that the Office has no evidence of receipt of the correspondence, (2) the number of the "Express Mail" mailing label was placed on the paper(s) or fee(s), (3) includes a copy of the originally deposited papers(s) or fee(s) showing the number of the "Express Mail" mailing label thereon, copy of the returned postcard receipt, copy of the "Express Mail" mailing label showing the "date in" and (3) includes a statement from the person who actually did the mailing.

Petitioner doesn't satisfy requirement (2) in that, the copy of the correspondence—Part B – Fee(s) Transmittal—doesn't include the number of the "Express Mail" mailing label, and the copy of the "Express Mail" mailing label doesn't show the "date-in".

The petitioner should consider petitioning as follows:

File a petition for Revival of Abandoned Application under CFR § 1.137 (a) or (b). The new rule change appears in 62 Federal Regulations, October 10, 1997 or 1203 of the Official Gazette 63, October 21, 1997, 65 FR 57057, Sept. 20, 2000, 68 FR 14332, Mar. 25, 2003, 69 FR 56481, Sept. 21, 2004.

- Under 37 CFR 1.137(a), a perition for the revival of an *unavoidably* abandoned application must be accompanied by the following:
 - (1) The required reply to the outstanding Office action or notice, unless previously filed;

(2) The petition fee set forth in § 1.17(1);

- (3) A showing to the satisfaction of the Director that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to this paragraph was unavoidable: and
- (4) Any terminal disclaimer (and fee as set forth in § 1.20(d) required pursuant to paragraph (c) of this section.

* Application No. 10/016,352

Page 3

- Under 37 CFR 1.137(b), a petition for the revival of an unintentionally abandoned application must be accompanied by the following:
 - (1) The required reply to the outstanding Office action or notice, unless previously filed;

(2) The petition fee as set forth in § 1.17(m);

(3) A statement that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to this paragraph was unintentional. The Director may require additional information where there is a question whether the delay was unintentional:

(4) Any terminal disclaimer (and fee as set forth in § 1.20(d) required pursuant to paragraph (c) of this section.

Further correspondence with respect to the petition for revival under 37 CFR 1.137 should be directed to the Office Of Petition at 703-305-9282 or addressed as follows:

By mail:

Mail Stop <u>Petitions</u>
Commissioner for Patents
P O Box 1450
Alexandria, VA 22313-1450

Telephone inquires concerning this matter may be directed to the Office of Petitions at 703-305-9282.

Thomas E. Hawkins

Paralegal Specialist
Office of the Director

Office of Patent Publications

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